I HEREBY CERTIFY THE HIS CORRESPONDENCE IS BEING DEPOSED WITH THE UNITED STATES

1 MPOSTAL SERVICE AS FILE CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.

PATENT BOX NON-FEE AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent Application of

Shinya Abe et al.

Conf. No.:

8847

Appln. No.:

09/963,233

Filed:

September 25, 2001

For:

OPTICAL DISC AND METHOD FOR

MANUFACTURING THE OPTICAL DISK:

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: Group Art Unit: 26

. : Examiner: Tan X

Attorney Docket No. 10407-009USECEIVED

(A1028MT-US1)OCT 3 1 2002

INFORMATION DISCLOSURE STATEMENT Technology Center 2600

It is requested that the enclosed reference listed on the attached Information Disclosure Citation Form PTO/SB/08A be considered by the Patent Examiner in connection with the above-identified application and be made of record therein.

Certification Under 37 C.F.R. § 1.97(e)(1)

I hereby certify that the enclosed reference was first cited in a Communication dated August 12, 2002 from the Taiwanese Patent Office concerning counterpart Taiwan Application No. 90124087, not more than three months prior to the filing of this Statement.

Independent consideration and acknowledgment of the enclosed reference are respectfully requested.

Respectfully submitted,

SHINYA ABE et al.

(Date)

WILLIAM W. SCHWARZE

Registration No. 25,918

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

One Commerce Square

2005 Market Street, Suite 2200

Philadelphia, PA 19103

Telephone: (215) 965-1200 Direct Dial: (215) 965-1270

Facsimile: (215) 965-1210

`E-Mail: wschwarze@akingump.com

WWS:msb Enclosures

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